

09/806779

EXPRESS MAIL NO.:EL 501 641 640 US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Lindgren et al.

Serial No.: 09/806,779

Group Art Unit: TBA

Filed: March 30, 2001

Examiner: TBA

For: APPARATUS FOR ROUTING  
DATA PACKETS IN A DTM  
NETWORK

Attorney Docket No.: 10806-005

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**ASSISTANT COMMISSIONER FOR PATENTS  
Box PCT  
Washington, D.C. 20231

Sir:

In response to the Notification of Missing Requirements dated July 9, 2001, applicants submit herewith an executed Declaration for the above-identified application. The time set for responding to this Notification was originally September 9, 2001. Accordingly, applicants submit herewith a Petition for Extension of Time (one month) which renders this Response timely.

In addition, applicants submit herewith a Power of Attorney by Assignee, a copy of the Assignment, and a Verified Statement Claiming Small Entity Status for the above-identified application.

09/25/2001 UEDUVIJE 00000122 161150 09806779

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Pursuant to 37 CFR 1.492(e), the fee believed required for this submission is \$65.00.  
Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A  
copy of this sheet is enclosed.

Respectfully submitted,

*U.C. Kelly By No. 36,116*

*for Garland T. Stephens.*

Date

9/20/01

Garland T. Stephens

(Reg. No.37,242)

PENNIE & EDMONDS LLP  
1155 Avenue of the Americas  
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(212) 790-9090

Enclosure



## UNITED STATES PATENT AND TRADEMARK OFFICE

2336

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NO. 09/806779	FIRST NAMED APPLICANT H. Lebowitz REC'D LINDGREN JUL 17 2001 Pennie & Edmonds O.K. for filing	ATTY. DOCKET NO. P 10806-005
INTERNATIONAL APPLICATION NO. PCT/SE99/01800		
I.A. FILING DATE 07 OCT 99	PRIORITY DATE 07 OCT 98	

PENNIE & EDMONDS  
1155 AVENUE OF THE AMERICAS  
NEW YORK, NY 10036 2711

Oath/Decl. + Fee 2 mos 9/9/01 @

DATE MAILED: 09 JUL 2001

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

- The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☐ a Designated Office (37 CFR 1.494) ☒ an Elected Office (37 CFR 1.495):
 

<input checked="" type="checkbox"/> U.S. Basic National Fee.	<input checked="" type="checkbox"/> Indication of Small Entity Status.
<input checked="" type="checkbox"/> Copy of the international application.	<input type="checkbox"/> Translation of the international application into English.
<input checked="" type="checkbox"/> Oath or Declaration of inventors(s).	<input type="checkbox"/> Translation of Article 19 amendments into English.
<input type="checkbox"/> Copy of Article 19 amendments.	<input type="checkbox"/> Other:
<input checked="" type="checkbox"/> Priority Document.	
<input checked="" type="checkbox"/> The International Preliminary Examination Report in English and its Annexes, if any.	
<input type="checkbox"/> Translation of Annexes to the International Preliminary Examination Report into English.	
- ☒ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.
 

<input type="checkbox"/> U.S. Basic National Fee.	<input type="checkbox"/> Copy of the international application.
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- The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:
 

<input type="checkbox"/> a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
<input type="checkbox"/> The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
<input type="checkbox"/> b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
<input type="checkbox"/> c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
<input checked="" type="checkbox"/> The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
<input checked="" type="checkbox"/> d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).
- Additional claim fees of \$\_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.
- ☐ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

**ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

- If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.
- ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

**A copy of this notice MUST be returned with this response.**

Enclosed: ☒ PCT/DO/EO/917 ☐ Notice of Defective Translation  
☐ PTO-875 ☐ PCT/DO/EO/920

Winston M. Alvarado

FORM PCT/DO/EO/905 (March 2001)

Telephone: 703-305-6421